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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0179A

First Named Inventor : Tatsuki NOGIWA et al.

Title: SUBSTRATE HOLDER, METHOD FOR PRODUCING  
SUBSTRATE HOLDER, AND METHOD FOR PRODUCING MOLD

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)2. ☐ Small Entity Status is hereby asserted.8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3. ☒ Specification [Total Pages: 40]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 12]5. ☒ Oath or Declaration [Total Pages: 3]a.1. ☒ Newly executed (original or copy)a.2. ☐ Unexecutedb. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☐ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☒ Information Disclosure Statement (IDS)/PTO-1449☒ Copies of IDS Citations13. ☒ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)15. ☒ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By: Nils E. Pedersen  
Registration No. 33,145WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

February 6, 2004

THE COMMISSIONER IS AUTHORIZED  
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020604

3281 U.S. PTO

17548 U.S. PTO  
10/772355

020604

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tatsuki NOGIWA et al.

Serial No. NEW

Attn: APPLICATION BRANCH

Filed February 6, 2004

Attorney Docket No. 2004-0179A

SUBSTRATE HOLDER, METHOD FOR  
PRODUCING SUBSTRATE HOLDER, AND  
METHOD FOR PRODUCING MOLD

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
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Sir:

Attached hereto is a check in the amount of \$1,090.00 to cover Patent Office fees relating to filing the following attached papers:

New application .....	<u>\$770.00</u>
Assignment for Recordal .....	<u>\$40.00</u>
Additional Claims Fee:	
Excess of Twenty .....	<u>\$108.00</u>
Independent .....	<u>\$172.00</u>

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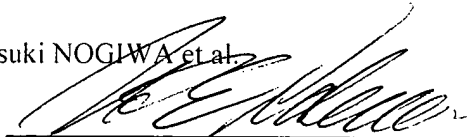
*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

**THE COMMISSIONER IS AUTHORIZED  
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ACCOUNT NO. 23-0975**

Tatsuki NOGIWA et al.

By

  
Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

NEP/krq  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
February 6, 2004

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